Atty Dkt APF32 7011-0032 PATENT

OCT 2 3 20000 2

I here certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington,

20231 on October 17

Signature

RECEIVED

OCT 25 7000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TECH CENTER 1600/2900

In Re Application of:

Macklin and Fuller

Serial No.: 09/501,328

Group Art Unit: 1641

Filing Date: February 9, 2000

Examiner: Swartz, R.

Title: MYCOBACTERIUM TUBERCULOSIS IMMUNIZATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The information listed below may be material to the examination of the above-identified application. Copies of the information and completed PTO-1449 forms are submitted herewith. The Examiner is respectfully requested to make this information of official record in the application. The information includes:

United States Patent No. 5,100,792 issued March 31, 1992 to Sanford et al.;
United States Patent No. 5,219,740 issued June 15, 1993 to Miller et al.;
United States Patent No. 5,630,796 issued May 20, 1997 to Bellhouse et al.;
United States Patent No. 5,714,593 issued February 3, 1998 to Laqueyrerie et al.;
United States Patent No. 5,736,524 issued April 7, 1998 to Content et al.;
United States Patent No. 5,865,796 issued February 2, 1999 to McCabe;
International Publication No. WO 88/06591 published September 7, 1988;
International Publication No. WO 90/12875 published November 1, 1990;
International Publication No. WO 96/31613 published October 10, 1996;
International Publication No. WO 98/16646 published April 23, 1998;

Atty Dkt No. APF32 7011-0032 USSN: 09/501,328 PATENT



International Publication No. WO 98/31388 published July 23, 1998; TECH CENTER 1600/2900 International Publication No. WO 99/04005 published January 28, 1999;

Anderson, Peter, "Effective Vaccination of Mice Against Mycobacterium tuberculosis With a Soluble Mixture of Secreted Mycobaterial Proteins," Infect. Immunity 62:2536-2544 (1994);

Belisle et al., "Role of the Major Antigen of *Mycobacterium tuberculosis* in Cell Wall Biogenesis," *Science* 276:1420-1422 (1997);

Borremans et al., "Cloning, Sequence Determination, and Expression of a 32-Kilodalton-Protein Gene of *Mycobacterium tuberculosis*," *Infect. Immun.* <u>57</u>(10):3123-3130 (1989);

Boshart et al., "A Very Strong Enhancer is Located upstream of an Immediate Early Gene of Human Cytomegalovirus," *Cell* 41:521-30 (1985);

Calmette et al., "Essais D'Immunisation Contre L'infection tuberculous," Bull. Acad. Natl. Med. 91:787-796 (1924);

Content et al., "The Genes Coding for Antigen 85 Complexes of Mycobacterium tuberculosis and Mycobacterium bovis BCG Are Members of a Gene Family: Clonign, sequence Determination, and Genomic Organization of the Gene Coding for Antigen 85-C of M. Tuberculosis," Infectious and Immunity U.S. American Society for Microbiology, Washington 59(9):3205-3212 (1991);

Horwitz et al., "Protective Immunity Against Tuberculosis Incuced by Vaccination With Major Extracellular Proteins of Mycobacterium tuberculosis," Proc. Natl. Acad. Sci. USA 92:1530-1534 (1995);

Hubbard et al., "Immunization of Mice With Mycobacterial Culture Filtrate Proteins," Clin. Exp. Immunol. 87:94-98 (1992);

Huygen et al., "Immunogenicity and Protective Efficacy of a Tuberculosis DNA Vaccine," *Nature Medicine* 2:893-898 (1996);

Jackson et al., "Mycobacterium tuberculosis Des Protein: an Immunodominant Target for the Humoral Response of Tuberculous Patients," Infection & Immunity 65(7):2883-2889 (1997);

Atty Dkt No. APF32 7011-0032 USSN: 09/501,328 PATENT

Kamath et al., "Differential Protective Efficacy of DNA Vaccines Expressing Secreted Proteins of Mycobacterium tuberculosis," Infection and Immunity 67(4):1702-1707 (1999);

Laqueyrerie et al., "Cloning, Sequencing, and Expression of the *apa* Gene Coding for the *Mycobacterium tuberculosis* 45/47-Kilodalton Secreted Antigen Complex," *Infection and Immunity* 63(10):4003-4010 (1995);

Li et al., "Immunogenicity of DNA Vaccines Expressing Tuberculosis Proteins Fused to Tissue Plasminogen Activator Signal Sequences," *Infection and Immunity* 67(9):4780-4786 (1999);

Lotte et al., "BCG Complications: Estimates of the Risks Among Vaccinated Subjects and Statistical Analysis of Their Main Characteristics," *Adv. Tuberc. Res.* 21:107-193 (1984);

Luelmo et al., "BCG Vaccination," Am. Rev. Respir. Dis. 125:70-72 (1982);
Oettinger and Anderson, "Cloning and B-Cell-Epitope Mapping of MPT64 from
Mycobacterium tuberculosis H37Rv," Infect. Immun. 62(5):2058-2064 (1994);

Pal et al., "Immunization With Extracellular Proteins of *Mycobacterium tuberculosis* Induces Cell-Mediated Immune Responses and Substantial Protective immunity in a Guinea Pig Model of Pulmonary Tuberculosis," *Infect. Immun.* 60(11):4781-4792 (1992);

Pertmer et al., "Gene Gun-Based Nucleic Acid Immunization: Elicitation of humoral and Cytotoxic T Lymphocyte Responses Following Epidermal Delivery of Nanogram Quantities of DNA," *Vaccine* <u>13</u>(15):1427-1430 (1995);

Roberts et al., "Characteristics of Protective Immunity Engendered by Vaccination of Mice With Purified Culture Filtrate Protein Antigens of *Mycobacterium tuberculosis*," *Immunology* <u>85</u>:502-508 (1995); Abstract only;

Rodrigues et al., "Tuberculosis in Developing Countries and Methods for its Control," *Trans. R. Soc. Trop. Med. Hyg.* <u>84</u>:739-744 (1990);

Tang et al., "Genetic Immunization is a Simple Method for Eliciting an Immune Response," *Nature* 356:152-154 (1992);

Wang et al., "Gene Inoculation Generates Immune Responses Against Human Immunodeficiency Virus Type I," *Proc. Natl. Acad. Sci. USA* 90:4156-4160 (1993);

Atty Dkt No. APF32 7011-0032 USSN: 09/501,328

PATENT

Wiker and Harboe, "The Antigen 85 Complex: a Major Secretion Product of Mycobacterium tuberculosis," Microbiological Reviews 56(4):648-661 (1992); and Young et al., "Dissection of Mycobacterium tuberculosis Antigens Using Recombinant DNA," Proc. Natl. Acad. Sci. USA 82:2583-2587 (1985).

This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

Date: <u>VCt 17, 2000</u>

Dahna S. Pasternak Registration No. 41,411

ROBINS & ASSOCIATES 90 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: 650-325-7812 Facsimile: 650-325-7823